

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

G-31

U.S. APPLICATION NO. 09/101049

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

FR 97/00098

17 JAN. 1997

17 JAN. 1996

TITLE OF INVENTION

Contactless Electronic Module for a Card or Label

APPLICANT(S) FOR DO/EO/US

Michel LEDUC, Philippe MARTIN, and Richard KALINOWSKI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)). - to follow
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). - unsigned
10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/FR 97/00098

ATTORNEY'S DOCKET NUMBER

G-31

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)

nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO

and International Search Report not prepared by the EPO or JPO ..... \$1070.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$930.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$790.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$720.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$98.00**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 930.-

\$ 130

\$ 270

\$ 1,330

\$ 1,330

\$ 130

\$ 1,460

\$ 1,460

Amount to be  
refunded: \$

charged: \$

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	- 20 =		x \$22.00
Independent claims	- 3 =		x \$82.00
			+ \$270.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

**TOTAL OF ABOVE CALCULATIONS =**Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).**SUBTOTAL =**Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☒ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).**TOTAL NATIONAL FEE =**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +**TOTAL FEES ENCLOSED =**a. ☒ A check in the amount of \$ 1,460<sup>00</sup> to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 16-2128. A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

ROLAND POTTEL

P.O. Box 293

New York NY. 10185-0293

Tel. 212 489-7073

Fax 212 489-7075

SIGNATURE

ROLAND POTTEL

NAME

20,707

REGISTRATION NUMBER

20.185-87-1/1710 46 JUN 1998

Case No. G-31

"Express Mail" mailing label number 055 338 230 Date of Deposit JUN 26 1998  
 I hereby certify that this application is being deposited with the United States Postal Service  
 "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above  
 is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Roland Plottel  
 Roland Plottel

Transmitted herewith for filing is the patent application of Richard Kacimowski  
 Inventor: Michel Leduc, Philippe Martin, and  
 For: Contactless Electronic Module for a Card or Table

Enclosed are:

From EB  
 To Focm

- ☒ \_\_\_\_\_ sheets of drawing.  
☒ An assignment of the invention to Gemplus. S.C.A.

From EB

- ☒ A certified copy of a French application No. 96/00889  
 the priority of which is hereby claimed, pursuant to the International Convention for  
 the protection of Industrial Property (613 OC-23, 53 Stat. 1748, MPEP 201.13).  
☐ A verified statement to establish small entity status under 37 CFR 1.9  
 and 37 CFR 1.27.  
☒ PCT - US/EO - PCT/FR97 00098

The filing fee has been calculated as shown below:

			SMALL ENTITY			OTHER THAN A SMALL ENTITY		
FOR:	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	RATE	FEE	OR	RATE	FEE	
BASIC FEE				\$	OR		\$	
TOTAL CLAIMS	-20=	*	X 11	\$	OR	X 22	\$	
INDEP CLAIMS	-3=	*	X 39	\$	OR	X 78	\$	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ 125	\$	OR	+ 250	\$	
			TOTAL	\$	OR	TOTAL	\$	

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2

\$40.00 Assignment Recording Fee:

- ☐ Please charge my Deposit Account No. 16-2128 in the amount of \$7089. A duplicate copy of this sheet is enclosed.  
☒ A check in the amount of \$1,460 to cover the filing/fee<sup>s</sup> and assignment recording is enclosed.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-2128. A duplicate copy of this sheet is enclosed.  
☒ Any additional filing fees required under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.  
☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-2128. A duplicate copy of this sheet is attached.  
☒ Any patent application processing fees under 37 CFR 1.17.  
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
☒ Any filing fees under 37 CFR 1.16

Address all future correspondence and telephone calls to applicant's attorney whose address and telephone is listed below:

212 489-7073

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 New York, NY 10185

Roland Plottel

ROLAND PLOTTEL PTONo.20707

- Prior art Statement form 1449  
 — References — Total  
 — Foreign search report